

PATENT

DECLARATION FOR PATENT APPLICATION
Joint INVENTORS

As an below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name:

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled OVEN MERCURY RETORTING DEVICE

the specification of which:

- ☒ is attached hereto.
☐ was filed on _____ as
Application Serial No. _____
☐ and was amended on _____ (if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Applications(s)

Priority Claimed

		Yes	No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	

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_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	Yes No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	Yes No

I hereby claim the benefit under Title 35, United States Code, 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status-patented, pending, abandoned)
_____ (Application Serial No.)	_____ (Filing Date)	_____ (Status-patented, pending, abandoned)

I hereby appoint Philip A. Mallinckrodt, Reg. No. 14,532; Robert R. Mallinckrodt, Reg. No. 26,565; and A. Ray Osburn, Reg. No. 27,933; all of the firm of Mallinckrodt & Mallinckrodt as my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Address all telephone calls to	A. Ray Osburn
at telephone no.	(801) 393-0331
facsimile no.	(801) 393-0331

Address all correspondence to:	MALLINCKRODT & MALLINCKRODT
	317 First Security Bank Bldg.
	Ogden, Utah 84401

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the

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like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of inventor: Charles H. Washburn

Inventor's Signature X *Charles H Washburn III* Date X 8/26/97

Residence: Salt Lake City, County of Salt Lake, State of Utah

Citizenship: U.S.A.

Post Office Address: 1573 South 13th East, Salt Lake City, Utah 84105

Full name of inventor: Charles O. Gale

Inventor's Signature X *Charles O Gale* Date X 8/26/97

Residence: Bountiful, County of Davis, State of Utah

Citizenship: U.S.A.

Post Office Address: 2269 Ridgewood Way, Bountiful, Utah 84010

Applicant or Patentee: Charles H. Washburn and Charles O. Gale
Serial or Patent No.: _____
Filed or Issued: Filed herewith
For: OVEN MERCURY RETORTING DEVICE

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTORS

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled OVEN MERCURY RETORTING DEVICE

described in

- ☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract by law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(c).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ no such person, concern, or organization
☒ persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME Summit Valley Equipment and Engineering, Inc.
ADDRESS 450 East 1000 North, North Salt Lake City, Utah 84054

☐ Individual ☒ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____
ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____
ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Charles H. Washburn

Charles O. Gale

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

X Charles H. Washburn III
Signature of Inventor

X Charles O. Gale
Signature of Inventor

Signature of Inventor

X 8/26/97
Date

X 8/26/97
Date

Date

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Applicants or Patentee: Charles H. Washburn and Charles O. Gale
Serial or Patent No: _____
Filed or Issued: Filed herewith
For: OVEN MERCURY RETORTING DEVICE

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below;
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Concern: SUMMIT VALLEY EQUIPMENT & ENGINEERING, INC.
Address of Concern: 450 East 1000 North, North Salt Lake City
Utah 84054

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract of law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled
OVEN MERCURY RETORTING DEVICE

- ☒ the specification filed herewith
☐ application serial no. _____ filed _____
☐ patent no. _____ issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements

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are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

FULL NAME _____
ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

FULL NAME _____
ADDRESS _____

☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made herein on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Charles O. Gale
TITLE OF PERSON OTHER THAN OWNER President
ADDRESS OF PERSON SIGNING 2269 Ridgewood Way, Bountiful, Utah 84010
SIGNATURE Charles O. Gale DATE 8/26/97